

MRS. DOROTHY UDEME UFOT, SAN B.SC, LL.B, LL.M, FCIArb (U.K.), CHARTERED ARBITRATOR

Dorothy Ufot & Co. Legal Practitioners, Investment Consultants and Arbitrators 2nd Floor, Okoi Arikpo House 5, Idowu Taylor Street Victoria Island Lagos, Nigeria Tel: +234-1-4631724 +234-1-2716348 Tel/Fax: +234-1-4631723 Mobile: +234-8037000007 E-mail: dorothy.ufot@dorothyufotandco.com Website: www.dorothyufotandco.com

| DATE OF BIRTH: | - | 6 th January, 1959. |
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| NATIONALITY: | - | Nigerian. |
| MARITAL STATUS: | - | Married with two children. |
| EDUCATION: | - - - | B.Sc. Political Science. University of Calabar, Nigeria, 1983. LL.B, University of Lagos, Nigeria, 1988. LL.M, University of Lagos, Nigeria 1996. Advanced Management Programme (AMP) Lagos Business School, 2001. |
| BAR ADMISSION: | - | Admitted to the Nigerian Bar, 1989. Practicing Lawyer engaged in private legal practice since 1990. |
| PROFESSIONAL ACCOMPLISHMENTS: | - | Chairman, Arbitration & ADR Commission of the International Chamber of Commerce – Nigerian National Committee (ICCN). |
| | - | Won the prestigious award of African Arbitrator of the Year 2020 organised by the East Africa International Arbitration Conference in Nairobi, Kenya on 28th August, 2020. |
| | - | 1 st Female Senior Advocate of Nigeria |

1st Female Senior Advocate of Nigeria (SAN) (Equivalent of the English Queen's Counsel) of Akwa Ibom Origin.

| | - | 1 st Nigerian Female member of the ICC International Court of Arbitration in Paris, since 2006 - 2018. |
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| | - | 2 nd Nigerian Female to qualify as a Chartered Arbitrator from the Chartered Institute of Arbitrators U.K. (2003), and the 5 th and youngest Nigerian to so qualify at the time. |
| | - | 1 st African to be appointed to the executive committee of the IBA Arbitration Committee being Vice-Chair from 2006-2008. |
| ARBITRATION COURT MEMBERSHIP: | - | Member of the Court of Arbitration of the Casablanca Mediation and Arbitration Centre (CIMAC), since 2016 till date |
| ADMISSION TO THE INNER BAR (SILK): | - | Admitted to the Inner-Bar as a Senior Advocate of Nigeria (SAN), being the equivalent of the English Queen's Counsel (Q.C) on 12 th April, 2009. |
| LEGAL TRAINING/EXPERIENCE: | | |
| Nigerian Law School Victoria Island, Lagos. 1988 -1989 | - | Passed with honours and was called to the Nigerian Bar in December, 1989. |
| The Chambers of Mr. H. A. Lardner, SAN | - | Counsel at the Chambers of Mr. H.A. Lardner S.A.N. (Deceased), Lagos, Nigeria 1990 – 1994. A purely litigation firm. Gained considerable experience in litigation in all the superior courts in Nigeria, at the High Court, the Court of Appeal and the Supreme Court. |
| Dorothy Ufot & Co. | - | Managing Partner and Founder, Dorothy Ufot & Co. Lagos, Nigeria. Since 1994 till date. |
| AREAS OF SPECIALISATION: - | | Has over 32 years experience in Commercial Litigation at both the Trial and Appellate Court levels, vast experience in International Commercial Arbitration (Arbitrator and Counsel) and Alternative Dispute Resolution (ADR), Investment Treaty Arbitration and Investor State Dispute Settlement (ISDS), Enforcement of Foreign Arbitral Awards, Corporate/Commercial Litigation, Negotiation and Documentation of Local and International Contracts, Joint Ventures, Securities and Capital Market Operations, Mergers & Acquisitions, Foreign Investments (FDI & FPI), Divestments, Oil & Gas Law, Banking, Corporate Finance, Debt Recovery, Sales and Distribution Contracts, Insolvency, Admiralty and Maritime, Corporate/Commercial Law, Insurance and Investment Consultancy, Corporate Structuring and Restructuring. |

| PRESENT POSITION: | - Consu & Co. | Lawyer, International Arbitrator, Investment ltant and Managing Partner, Dorothy Ufot |
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| ARBITRATION TRAINING/QUALIFICATIONS: | | |
| Chartered Institute Of Arbitrators (U.K.) | - | Fellow, Chartered Institute of Arbitrators (FCIArb) – (U.K.) 2000. Successful at the Fellowship examination of the Chartered Institute of Arbitrators, London, held in Barcelona, Spain in September, 1999. |
| | - Arbitra place | Chartered Arbitrator – Chartered Institute of ators, London - 2003. Successful at the peer interview which took in Amsterdam, The Netherlands in May, 2003. |
| PUPILAGE TRAINING IN INTERNATIONAL ARBITRATION: | | Trained as a pupil arbitrator under Mr. Anthony Canham, Chartered Arbitrator and Past President of the Chartered Institute of Arbitrators, U.K. from October 2000 to May, 2003 leading up to qualification as a Chartered Arbitrator. |
| ARBITRATION EXPERIENCE: | - | Practical experience in both Institutional and Ad- hoc Arbitration having received several appointments as an Arbitrator from reputable arbitral institutions, Federal Government Parastatals, State Governments, Major Multinational Oil Corporations, Private and Public Limited Liability Companies in Domestic and International Construction Projects, Oil & Gas, Energy, LNG, Mining, Shipping and Maritime, Infrastructure Development Projects, Dredging Contracts, Telecommunications, Financial Services and Capital Market disputes, with the value of the disputes ranging from Four Billion Naira to USD 3.2 Billion, some of which are the following recent arbitration cases: |
| | (i) | ICSID Case No. ARB/13/21. Dispute between an investor and a host country. A member of a 3 man panel of Arbitrators appointed by ICSID (Concluded and Decision published). The dispute concerned alleged losses to the Respondent, arising from measures and reforms adopted by the Applicant in 2012 which affected the Respondent's business and operations. |

(ii) ICSID Case No. ARB/13/1- Dispute between an investor and a host country.

A member of a 3 man panel of Arbitrators appointed by ICSID (Concluded and Decision published).

The dispute concerned the alleged breach of the Respondent's right and losses arising from a power project in which the Respondent signed a contract with a State-owned entity for the provision of bargemounted rental power.

ICSID Case No. ARB/09/19- Dispute between an investor and a host country. A member of a 3 man panel of Arbitrators appointed by ICSID (Concluded and Decision published).

(iii)

The dispute concerned the alleged losses suffered by the Respondent due to the termination of its Mining Licence.

(iv) ICSID Case No. ARB/15/29- Dispute between investors and a host country.

A member of a 3 man panel of Arbitrators appointed by ICSID (Concluded and Decision published).

The dispute concerned the Applicants' license for exploration and development of rare earth minerals and was brought under the BIT.

- (v) LCIA Case No. 173602 Arbitration in respect of breach of an Engagement Contract relating to telecommunications business in Sierra Leone.
 Value of Dispute Over USD 22 Million. (Just concluded). (Sole Arbitrator appointed by LCIA).
- (vi) LCIA Case No. 194422 Arbitration in respect of a breach of a Gas Sales Agreement.
 Value of Dispute USD 1,080,889,309.00 (Award published) (Co-Arbitrator appointed by LCIA).
- (vii) LCIA Case No. 184105 Arbitration in respect of an option to acquire 100% Shares in a Mauritius Company. Tribunch of 2 members Apprinted by LCIA

- Tribunal of 3 members. Appointed by LCIA. (Dispute settled and Award by Consent published).

- (viii) LCIA Case No. 204939 Arbitration in respect of a Shareholders Agreement between an insurance company and the minority shareholders.
 Value of Dispute USD 720,465.15 (Concluded) (Sole-Arbitrator appointed by LCIA).
- (ix) ICC Case No. 20331/TO An indigenous Gas Company V. A Multinational Oil Company. Dispute is in respect of a Gas Supply/Refining Contract. Institutional Arbitration – International Court of Arbitration of the International Chamber of Commerce (ICC).
- Tribunal of 3 Members.
- Party appointed by the Gas Refining Company.
- Value of dispute USD303 Million. (Concluded and an Award published).
- (x) ICC Case No. 16125/GZ An International Telecommunications Company V. A Nigerian Telecommunications Company.
- Dispute in respect of a Telecommunications /Roaming Contract
- Institutional Arbitration International Court of Arbitration of the International Chamber of Commerce (ICC).
- International panel of 3 Members. German, Italian and I (Nigerian).

- Party appointed by the Nigerian
 - Telecommunications Company.
- Value of dispute USD 3Million. (Dispute settled and Award by Consent published).
- (xi) Dubai International Arbitration Centre, (DIAC) Case No. 173/2017: Dispute between a Dubai Human Resources Company and a Dubai Recruitment Agency in respect of supply of Labour from India and Pakistan.

- Value of Dispute – USD 222 Thousand (Concluded and Award Published). (Sole Arbitrator appointed by DIAC).

(xii) AAA Case No. 01-20-000-2733. Dispute in respect of a security contract between an international oil company and a Nigerian security company. Sole Arbitrator appointed by AAA. (Parties negotiating settlement).

(xiii) Stockholm Chamber of Commerce Arbitration Case No. Arb V/09 –

An International Construction Company V. The National Road Agency of an African Country.

- Dispute in respect of an International Road Construction Contract.
- Institutional Arbitration Arbitration Institute of the Stockholm Chamber of Commerce (SCC).
- International Panel of 3 Members. Pakistani, British and I (Nigerian).
 - Panel of 3 Arbitrators.
- Appointed by the SCC Institute of Arbitration.
- Value of dispute USD 55Million. (Concluded and Award published).
- (xiv) An Energy Drilling and Development Company V. A Subsidiary of the Nigerian State Oil Corporation. Dispute is in respect of Strategic Alliance Agreements for the production and development of hydrocarbon resources in several Oil Mining Leases. Tribunal of 3 Members.

Party appointed by the Nigerian State Oil Corporation.

Value of dispute – over USD 3.2 Billion. (Concluded and Award published).

- (xv) A Multinational Oil Company V. The Nigerian State Oil Corporation.
- Dispute in respect of a Production Sharing Contract (PSC)/Concession Agreement.
- International panel of 3 Members. American, British and I (Nigerian).
- Party appointed by the Nigerian State Oil Corporation.
- Value of dispute Over USD 400 Million. (Concluded and Award published).
- (xvi) Dispute between a Construction Company and the Federal Republic of Nigeria, in respect of Gully Erosion Construction Contract.

- Value of Dispute – Equivalent of USD 2.5 Million. (Concluded and Award published). (Sole Arbitrator).

- (xvii) Dispute between an IOC and a drilling company in respect of a drilling contract for the development of an oil field in Nigeria.
- Party appointed by the Drilling Company-Claimant.
- Tribunal of 3 members
- Value of dispute USD 15 Million. (Concluded)
- (xviii) Dispute between an Investment Holding Company and a Nigerian State Government in respect of a Consultancy Agreement for the recovery of foreign debt.
- Party appointed by the State Government.
- Tribunal of 3 members
- Value of dispute N18.8 Million. (Parties negotiating settlement).
- (xix) An International Construction Company V. A. Nigerian State Government. Dispute in respect of the construction of a long Bridge in Eastern Nigeria.
- Tribunal of 3 Members.
- Party appointed by the Construction company.
- Value of dispute USD2.5 Million. (Hearing concluded but parties are in court).
- (xx) Dispute between Host Communities and an Investment Company.
 Party appointed by the Investment company Respondent.
- Tribunal of 3 members.
 - Value of dispute- 30 Billion Naira. (Ongoing).
- (xxi) A Nigerian Construction Company V. A Multinational Oil Company.
- Dispute in respect of the Construction of staff quarters and recreational facilities for the oil company.
- Tribunal of 3 Members
- Party appointed by the Multinational Oil Company
- Value of dispute USD 4 Billion Naira. (Concluded and Award published)
- (xxii) An International Construction Company V. A Multinational Oil Company.
 - Dispute in respect of a Dredging Contract.
- Tribunal of 3 Members.
- Party appointed by the Multinational Oil Company.
- Value of dispute USD 13Million. (Concluded and Award published).
- (xxiii) An International Construction Company V. A Multinational Oil Company.
- Dispute in respect of the Construction of Liquefied Natural Gas (LNG) Plant.
- Tribunal of 3 Members.
- Party appointed by the International Construction Company.
- Value of dispute USD 45Million. (Concluded and Award published).
- (xxiv) An International Construction Company V. A Nigerian State Government

- Dispute in respect of a Building Construction Contract.
- Tribunal of 3 Members.

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- Party appointed by the State Government.
- Value of dispute USD 26Million. (Concluded and Award published).
- (xxv) A Construction Company V. A Nigerian State Government.
- Dispute in respect of a Building Construction Contract.
- Tribunal of 3 Members.
 - Party appointed by the State Government.
- Value of dispute USD721,467.32. (Concluded and Award published).
- (xxvi) An Oil & Gas/Maritime Company V. A Multinational Oil Company.
- Dispute in respect of a Vessel Charter Contract for conveying Oil & Gas products.
- Tribunal of 3 Members.
- Party appointed by the Multinational Oil Company.
- Value of dispute USD 13.5Million. (Dispute settled and consent Award published).
- (xxvii) An Engineering/Construction Company V. An Estate Development Company.
- Dispute in respect of the Construction of Estate Town Houses/Bank Guarantee.
- Court appointed Sole Arbitrator.
- Value of dispute USD 2.5Million. (Concluded).
- (xxviii) The Nigerian State Oil Corporation V. A Construction/Engineering Company.
- Dispute in respect of the Construction of Staff Quarters for the State Oil Corporation.
- Tribunal of 3 Members.
- Party appointed by the State Oil Corporation.
- Value of dispute Equivalent of USD 20Million. (Arbitration settled by Court Proceedings).
- (xxix) A Construction Company V. A Multinational Oil Company.
- Dispute in respect of a Construction/Engineering Contract.
- Tribunal of 3 Members.
- Party appointed by the Oil Company.
- Value of dispute Equivalent of USD 50Million. (Concluded and Award published).
- (xxx) An Individual V. A Public Limited Liability Construction Company.
- Dispute in respect of a Construction Contract.
- Tribunal of 3 Members.
- Appointed Chairman by the two party appointed Arbitrators.
- Value of dispute Equivalent of USD 45Million. (Concluded and Award published).
- (xxxi) An Engineering and Building Maintenance Company V. A Construction Company.

- Dispute in respect of an Engineering, Procurement and Construction Contract (EPC).
- Tribunal of 3 Members.
- Appointed Chairman by the two party appointed Arbitrators.
- Value of dispute Over 1 Billion Naira. (Ongoing).
- (xxxii) An Individual V. A Public Limited Liability Construction Company.
 - Dispute in respect of a Construction Contract.
- Tribunal of 3 Members.
- Party appointed by the Construction Company.
- Value of dispute Equivalent of USD 20Million. (Award about to be published).
- (xxxiii) The Nigerian State Oil Corporation V. A Multinational Oil Company.

Dispute in respect of a Joint Operating Agreement (JOA).

Panel of 3 Members.

Party appointed by the Nigerian State Oil Corporation.

Value of dispute – Over USD 1.3 Billion. (Ongoing).

AVAILABILITY: - Available to act as an arbitrator in all jurisdictions.

PANEL MEMBERSHIP:

- Member of the Arbitration Panel of the following renowned international Arbitration institutions:
- The International Centre for Settlement of Investment Disputes (ICSID).
- The Korean Commercial Arbitration Board-International (KCAB).
- The Singapore International Arbitration Centre (SIAC).
- The Hong Kong International Arbitration Centre (HKIAC).
- The Energy Disputes Arbitration Centre (EDAC).
- The Kuala Lumpur Regional Centre for Arbitration (KLRCA) (Now, Asian International Arbitration Centre (AIAC)).
- The Beijing Arbitration Commission (BAC)/Beijing International Arbitration Center (BIAC).
- The Dubai International Arbitration Centre (DIAC)
- The International Energy Arbitrators' List.
- The Chartered Institute of Arbitrators (U.K.).
- The International Centre for Dispute Resolution (ICDR)/ The American Arbitration Institution (AAA)

PUBLICATIONS:

The Regional Centre for International Commercial Arbitration, Lagos, Nigeria (LRCICA).

Listed in the Global Arbitration Review's (GAR) International Who's Who of Commercial Arbitration Practitioners consecutively from 2009 to 2021 as one of the world's leading experts in the field of International Arbitration.

- Listed in the 'New List Arbitrators of African Descent'.
- Listed in the Legal 500 Arbitration Private Practice Power List Africa Region.
- Won the prestigious award of African Arbitrator of the Year 2020 organised by the East Africa International Arbitration Conference in Nairobi, Kenya on 28th August, 2020.
- 1. Author of the Global Arbitration Review's (GAR) International Arbitration know-how Nigeria Chapter which can be viewed at the GAR Website at <u>http://www.globalarbitrationreview.com/know-how/topics/61jurisdiction/18/nigeria/</u>
- 2. Immediate past Author of the ILO's legal Newsletter updates on International Arbitration - Nigeria Chapter which can be viewed at the ILO Website at <u>http://www.internationallawoffice.com/newsletters/d</u> <u>etails.aspx?r=25386</u>
- Author of The influence of the New York Convention on the development of International Arbitration in Nigeria.
 Journal of International Arbitration Volume 25, Number 6, December 2008. Published in Commemoration of the 50th Anniversary of the New York Convention, 1958.
- Corruption and sham disputes in Arbitration: Is there a duty on Arbitral Tribunal to investigate allegations of corruption and rule on it in the resolution of Parties' dispute A paper presented at the 3rd International Conference of the Penn Law International Arbitration Association, March, 2019.
- 5. Author of the Nigeria Chapter of Getting The Deal Through Arbitration, 2014, 2015, 2016 and 2017.
- 6. Author of the Nigeria Chapter of Getting The Deal Through – Investment Treaty Arbitration – 2015.
- 7. Author of the Nigeria Chapter of the book, Enforcement of Investment Treaty Arbitration Awards: A Global Guide. Published by Globe Law and Business U.K. 2015.
- 8. Contributor to the Global Wisdom on Business Transactions International Law and Dispute Resolution 2015.
- PROFESSIONAL MEMBERSHIP AND POSITIONS HELD:
- Member, ICC International Court of Arbitration, Paris. 2006 June 2018.

- Member, Casablanca International Mediation and Arbitration Centre (CIMAC) Court of Arbitration 2016 till date.
- Appointed one of the 8 global vice-presidents of the ICC Commission on Arbitration and ADR, Paris effective 1st January, 2014. June 2018.
- Member, ICC Commission on Arbitration Paris, 2004 till date.
- Member, Task Force on the Implementation of the New York Convention of the ICC Court of Arbitration, Paris.
- Appointed Council Member ICC Institute of World Business Law, Paris. April, 2016 till date.
- Chairman, Arbitration Commission of the International Chamber of Commerce – Nigerian National Committee (ICCN).

- Member – International Council for Commercial Arbitration (ICCA).

- Former Executive Member of Arbitral Women, Paris.
- Member, London Court of International Arbitration (LCIA).
- Member of the Advisory Board of the Journal of International Arbitration published by Wolters Kluwer.
- Member, London Oil and Gas Arbitration Club.
- Member, ICC Task Force Addressing Issues of Corruption in International Arbitration, ICC, Paris.

International Bar Association.

- (i) Former Vice-Chair of the Arbitration Committee of the IBA (2006-2008).
- (ii) Member of the IBA Task Force on Ad Hoc Arbitrator's Terms.
- (iii) Member of the IBA Task Force on the Implementation of the New York Convention.
- (iv) Member of the Nominations Committee of the IBA.
- Member of the Arbitration, Mediation, SEERIL, Telecommunications, Maritime, Capital Markets Committees of the IBA.
- Treasurer ICC Nigerian National Committee.
- Nigerian Bar Association.
- Coopted Member of the Nigerian Bar Association National Executive Committee.

- Member, the Lagos Chamber of Commerce and Industry.

- Member, Capital Markets Solicitors Association.

Nigerian Society of International Law.

- ADVANCE ARBITRATION TRAINING Managing the Arbitration Process. Advance Management Symposium organized by the ICDR for International Panel Members, Auckland, New Zealand, October, 2004.
 - PIDA Courses in International Arbitration, ICC Institute of World Business Law, Paris 2002 and 2004.
- **TEACHING SKILLS IN ARBITRATION:** Approved Tutor and Examiner for the Chartered Institute of Arbitrators (U.K.) for Associate, Fellowship Membership and courses. Has participated as a Tutor and an Examiner in several Associate, Membership, and Fellowship courses of the Chartered Institute of Arbitrators.
- Represents major banks, Oil and Gas Companies and other companies in Litigation at the Federal High Court, State High Courts, Court of Appeal and the Supreme Court of Nigeria.
- Assists in the decongestion of the Nigerian Prisons by defending indigent inmates on pro bono basis at the Court of Appeal and the Supreme Court of Nigeria and has secured the acquittal, discharge and release of three condemned prisoners on pro bono basis at the Court of Appeal level.
- **PERSONAL ATTRIBUTES:** Integrity, honesty, hardwork, focus and loyal. Highly motivated, self-confident and self-driven. Good interpersonal skills and a good team player. Good communication skills.
 - Professional/Business Ethics, diligent, painstaking and thorough.
 - Good knowledge of International Trade Law, Antitrust, International Arbitration, Investor-State Arbitration, Investment Treaties and Laws generally. Conversant with the globalization of the world economy.
 - Good knowledge of Securities Law and Practice, International Construction Law and International Oil and Gas Law.
 - Experienced Litigator and International Arbitrator.
 - Committed to the growth and development of the Client's business.
 - Registered by the Nigerian Securities and Exchange Commission (SEC) as a Capital Markets Solicitor.
 - **Non-Executive Director,** Dangote Cement Nigeria PLC. (The Largest Publicly quoted Cement Company in Africa).
 - **Non-Executive Director,** SO&U Limited, one of Nigeria's premier Advertising and Media relations Company.

LITIGATION EXPERIENCE:

SOCIAL RESPONSIBILITY:

PROFESSIONAL ATTRIBUTES:

COMPANY DIRECTORSHIP:

| | - Former Member of the Governing Council of the Nigerian Stock Exchange (NSE) and Chairman of its Rules and Adjudication Committee. |
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| | - Former Non-Executive Director of Chevron Oil Nigeria PLC (Appointed in 2008). |
| | - Former Non-Executive Director of MRS Oil Nigeria PLC. |
| | - Council Member, The Nigeria Prize for Leadership. |
| | - Council Member, Nigerian Bar Association Women's Forum. |
| CONFERENCES, COURSES/ SEMINARS ATTENDED: | - Attends a minimum of six International conferences on law and arbitration annually, including the following: |
| | - Annual Conference of the IBA- Annually. |
| | - IBA Arbitration Days – Annually. |
| | - ICCA Congresses – Every two years. |
| | - LCIA Symposium – Regularly. |
| | - Global Arbitration Review (GAR) Live London/Asia. |
| | - Association of International Petroleum Negotiators (AIPN) Conferences. |

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- Institute of Transnational Arbitration Conferences.
 - Proficient in spoken and written English language.

LANGUAGE:

REFEREES:

- Mr. Anthony Canham, C Eng. FICE, FCIArb, FBAE.
 Past President, Chartered Institute of Arbitrators, London Treize, Poplar Avenue Norwich NR4 7LB
 England
 Email: arbitrator@tonycanham.com.
- b. Dr. Michael Moser Level 8, Two Exchange Square
 8, Connaught Place, Hong Kong. Central. Email: <u>mmoser@20essexst.com</u>.
- c. Dr. Pierre A. Karrer Past President, Swiss Arbitration Association Formerly of Pestallozzi Lachenal Patry Loewenstrasse 1-CH-8001 Zurich Switzerland. Email: <u>karrer@pierrekarrer.com</u>.